HSNC University, Mumbai



VCD/2021/July/01 of July 2021

Minimum Standard and Procedure for the Award of M.Phil/Ph.D. Degree (First Amendment)

(with effect from the date of promulgation of VCD)

WHEREAS Minimum Standard and Procedure for the Award of Ph.D. Degree (O.2021/01) Minimum Standard and Procedure for the Award of M.Phil Degree (O.2021/02) are in existence from 15th March 2021.

AND WHEREAS the Vice Chancellor appointed a committee to examine the Provisions of Recognition of Research Guide for M.Phil. / Ph.D. Degree under the ordinance and suggest suitable amendments, if any, to incorporate the provisions of National Education Policy 2020 and UGC Provisions especially for recognition of research guide for interdisciplinary subjects.

AND WHEREAS it will take considerable time to make ordinance prescribing amendment to recognition of research supervisor for M.Phil and Ph.D Degree.

NOW THERFORE, I, Dinesh Panjwani, Vice-Chancellor of the HSNC University, Mumbai, by and under the powers vested in me under sub-section (8) of section 12 of the Maharashtra Public Universities Act, 2016, hereby issue the following directives:

- 1. These Directives shall be applicable to the Departments, Schools, Centers and Constituent Colleges of HSNC University, Mumbai
- 2. These Directives shall be applicable to Research Supervisor(s), C0-Supervisor (s) and Research Scholar (s)



- 3. These Directives shall come in force immediately from the date of circulation and remain in force till six months or till ordinances in this regards come in to force, whichever is earlier.
- 4. Definitions:
 - a) "Constituent College" means a college which is a constituent college of HSNC University,

 Mumbai.
- b) "Co-Guide" or "Co-Supervisor" means the appointment of another recognized research guide to supplement the area of expertise of the main supervisor, especially when the work is multi-disciplinary.
- c) "College" means a college affiliated to the university or a constituent college of university, situated in the university area or jurisdiction;
- d) "Department" means a department teaching a particular subject or a group of subjects in a college or an institution as prescribed in the Statutes.
- e) "Director" means a head of an institution including a center or a school of the university as designated by the Board of Governance or a head of a recognized institution.
- f) "Emeritus Fellow" means a highly qualified superannuated teacher awarded the fellowship as per the regulation of Emeritus Fellowship guidelines of HSNC University, Mumbai or recognized under UGC Scheme of Emeritus Professor.
- g) "Head of the University Department" means respectively, a Head of the University Department, a head of the constituent college, head of the school, head of the college department, as prescribed in the Statutes.
- h) "Institution" means an academic institution of higher learning, not being a college, associated with and admitted to the privileges of the university.
- i) "Inter-disciplinary studies" means the combined academic studies and research in different disciplines as prescribed by statutes.
- j) "Research Guide /Research Supervisor" means the recognized research guide/ supervisor of the university/constituent colleges to supervise the Ph.D. scholar for the research.



- k) "Ph.D scholar" means the research scholar who on deputation from another college or institutions and who is been supported with or without fellowship or scholarship pursues his research work only leading to Ph.D. degree.
- 1) "School" means a school of studies of HSNC University, Mumbai;
- m) "Teacher" means full-time approved professor, associate professor, assistant professor, librarian, principal, Director of an institution, Director of Knowledge Resource Centre, Director of Centre of Lifelong Learning and Extension, deputy or assistant librarian in the university, college librarian, Director or instructor of physical education in any university department or constituent college or recognized institution of the university.
- n) "University Department" means a department established and maintained by the university and/or its constituent college as prescribed by the Statutes.
- o) "University Institution" means a centre, a school, or an institute established and maintained by the university as prescribed by the Statutes.

5. Eligibility criteria for Research Guide/ Supervisor, Co-Supervisor:

A full time approved regular teacher of HSNC University, Mumbai, University Departments, constituent colleges, Schools and Centers of HSNC University Mumbai, fulfilling following conditions, shall submit an application for recognition as Research Guide/Supervisor, Co-Supervisor.

A teacher to be recognized as M.Phil/Ph.D Guide in only **one** relevant subject shall filfill the following norms: -

(a) A full time approved regular teacher with a minimum five years teaching experience in the capacity of Assistant Professor, after obtaining a Post graduate degree awarded by any University or educational institution as incorporated by an Act of Parliament or State legislature in India or declared to be deemed as a University under Section 3 of the UGC Act, 1956, or possess an equivalent qualification recognized by the Ministry of HRD, Government of India in the relevant/allied subject with Ph.D.

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OR

(b) A full time approved regular teacher with three years teaching experience at PG level in the relevant subject with Ph.D. degree.

OR

(c) A teacher directly recruited as Professor /Associate Professor in the University department shall be eligible to be recognized as a research guide.

OR

(d) An existing approved research guide of University of Mumbai may apply to be recognized as Research Supervisor at HSNC University Mumbai for M.Phil/Ph.D Degree. She/he shall deemed to be recognized as research/supervisor.

Provided the total number of research scholars at any point in time combined in all Universities shall not exceed the limit as specified in clause 6(a)

AND

- (e) A teacher should have five quality publications (other than M. Phil / Ph.D. work) in recognized National / International refereed journals in the concerned subject (with ISSN Number).
- (f) Only a full-time regular teacher of the University departments, schools, centers and constituent colleges of HSNC University, Mumbai can act as research supervisor. External supervisors are not allowed. However, Co-Supervisor can be allowed in inter-disciplinary areas from other departments of the same institute or from other related institutions with the approval of Research Advisory Committee of HSNC University, Mumbai.
- (g) Until 2022-23 a teacher of HSNC University, Mumbai may have dual recognition as Research Guide i.e. Mumbai University and HSNC University, Mumbai as until this Academic Year college is an affiliated institution of Mumbai University also.
- (h) A teacher who is an approved research guide of University of Mumbai in Academic Year 2021 shall continue to be the same until the students enrolled under him/her have either completed their M.Phil/Ph.D degree or ceased to exist as the research student.
- (i) A full time approved regular teacher under any of the above categories can be recognized as a Research Guide in a subject in which he/she does not have teaching experience within the College / University at UG or PG level but fulfills the following:
 - 1. PG / research degree in a subject other than the subject of teaching.



- 2. Documented prestigious certificate / award/ book published / examiner / referee for Ph. D / graded experience / outstanding research work etc. in the subject other than the subject of teaching, then RRC of respective subject shall, in its discretion, refer to the Vice-Chancellor for appointment of a committee of renowned experts in that subject to evaluate the work of such teacher in the subject (other than in subject of teaching) and submit a detailed report on acceptance / rejection of the applications for recognition as a research guide.
- 3. Vice-Chancellor of HSNC University, in his discretion may accepts or rejects the committee report & forward his recommendations of the application to the RRC.
- (j) A teacher fulfilling the above conditions shall apply in the prescribed format to the registrar (proforma A) seeking recognition to act as research supervisor/guide at HSNC University, Mumbai.
- (k) The University must have provision on its website/portal online for accepting applications for recognition of M.Phil/Ph.D Supervisor/Co-Supervisor. However, applicants must submit physical copy forwarded through head of the institution/school/constituent college/center of the University. If physical copy is not received within seven working days of the submission of the online application the application shall stand cancelled.
- (I) The application received by the University for recognition as research guide shall be listed for scrutiny in the subsequent Research and recognition committee (RRC) meeting or a meeting to be scheduled within 15 days of the receipt of the application by the university whichever is earlier.

6. Allocation of Research Guide for M.Phil/Ph.D

a) A Research Supervisor/Co-supervisor who is a Professor, at any given point of time, cannot guide more than three (03) M.Phil. and Eight (08) Ph.D. scholars. An Associate Professor as Research Supervisor can guide up to a maximum of two (02) M.Phil. and six (06) Ph.D. scholars and an Assistant Professor as Research Supervisor can guide up to a maximum of one (01) M.Phil. and four (04) Ph.D. scholars.

Note: The supervisor should declare the number of M.Phil./Ph.D. students registered with him/her annually to the University and respective Research



Center/Institution/School/Constituent College. He/she cannot increase the number by using recognition from multiple universities/institutions.

A recognized guide shall not be allowed to register a candidate for Ph.D who is blood related to him/her.

- b) The allocation of Research Supervisor for a selected research scholar shall be decided by the Department concerned depending on the number of scholars per Research Supervisor, the available specialization among the Supervisors and research interests of the scholars as indicated by them at the time of interview/viva voce.
- c) In case of topics which are of inter-disciplinary nature where the Research Advisory Committee (RAC) feels that the expertise in the Department has to be supplemented from outside, the Research Advisory Committee (RAC) shall appoint a Research Guide from the Department itself, who shall be known as the Research Guide, and a Co-Guide from outside the Department /Faculty / College/ Institution on such terms and conditions as may be specified and agreed upon by the consenting Institutions/College and with due consent of the Research Guide.
- d) A teacher who is a recognized guide of this University and who proceeds on lien outside of the University area may continue to guide the candidates already registered but is not entitled to register a fresh candidate during the lien period.
- e) University teachers after superannuation may continue as co-guides for research students with the consent of the concerned authorities. Retired Professors holding an official position (such as Emeritus Fellow / Emeritus Professor / Scientist Emeritus) may continue as Supervisors till they continue in the official position. Later they may act as a Co-Supervisors with the concurrence of the Research Committee and the new Research Supervisor.

7. Change of Guide

The circumstances in which change of Guide or Research Supervisor may be allowed are;-

- a) To obtain NOC from the Guide.
- b) If the Research Supervisor/Guide is not available due to death/ retirement/left college. An application for the same shall be forwarded for change of guide through the Head of the concerned Research center to the Registrar of the University.
- c) If a conflict arises between Guide/Research Supervisor and the research scholar due to any reason, in such case, if the guide is not ready to issue NOC, an application, recommended by the Head of the Research Center to the University shall be forwarded to the Registrar of the University. Such applications shall be forwarded by the Registrar to the concerned Dean of the Faculty within six working days and the Dean of the concern Faculty shall submit the recommendations within 15



working days to the Registrar of the University.

d) In case of relocation of an M.Phil./Ph.D. woman scholar due to marriage or otherwise, the research data shall be allowed to be transferred to the University to which the scholar intends to relocate, provided all the other conditions in these regulations are followed in letter and spirit and the research work does not pertain to the project secured by the parent institution/ Supervisor from any funding agency. The scholar will however give due credit to the parent guide and the institution for the part of research already done.

8. Cancellation of Guideship or Research Supervisorship

Recognition granted as a research guide to the faculty member shall be cancelled by the Vice-Chancellor, in the following cases: -

- a) In case of his / her resignation, termination or dismissal or any kind of harassment reported by the research scholar endorsed by the competent authority of HSNC University Mumbai.
- b) If, at any stage it is found that the information or documents submitted by the recognized Guide or Research Supervisor, for recognition are transpired to be forged, false or fabricated.
- c) If any M.Phil/Ph.D. guide or Research Supervisor creates obstacle in the smooth functioning of the HSNC University, Mumbai or any authority or committee of the HSNCU.
- d) Two published papers have to be submitted to the registrar of HSNC University, Mumbai. If he /she do not publish at least two research papers in peer reviewed research journals every five years from the date of recognition.
- e) A research guide who is found willfully involved in plagiarism, is liable for criminal Prosecution apart from cancellation of guide-ship based on fact finding by the concerned RRC of HSNC University, Mumbai.

Provided that, the research guide shall be given a reasonable opportunity to show cause by the Vice -Chancellor before taking recourse for her/his removal under above sub-clauses.

- 9. Application for Ph. D. Research Guide (Annexure A)
- 10. Application for topic approval for admission to PhD Degree– (Annexure B)
- 11. Application for topic approval for admission to M.Phil Degree (Annexure C)

Date: 16th July 2021

Place: Mumbai

Prof Dinesh Panjwani

Vice-Chacnellor

Page 7 of 7



Annexure A HSNC UNIVERSITY MUMBAI



APPLICATION FOR APPROVAL AS RESEARCH GUIDE / CO-GUIDE FOR DOCTOR OF PHILOSOPHY (Ph. D.) PROGRAMME

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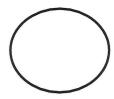
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Seal of the Department/School/Center

Full Name and Counter Signature of the Head/Principal /Director of University Departments of the concerned subjects /School/Center

List of applicable Documents to be attached along with the form.

Sr. No.	Name of the Document	Yes/No/Not Applicable
1.	Degree Certificated of Ph.D.	
2.	P.G. Recognition	
3.	Master's degree, Marksheet and Passing Certificate	
4.	M. Phil Certificate	
5.	Photocopy of the Research Publication with ISBN / ISSN No., Date of Publication if listed under UGC Care/Approved by UGC.	
6.	Book Front Cover, Each Cover and ISBN No.	
7.	True copy of Marriage Certificate issued by Marriage Officer of the concerned Government authorities. (In case of female student is she got married after passing the examination on which she intend to join the Ph. D degree course.)	



For Office Only

ENDORSEMENT OF THE HEAD OF THE RESEARCH CENTER

Head of the Research Center

ENDORSEMENT OF THE HEAD OF INSTITUTE

Head of the Institute

ENDORSEMENT OF THE RESEARCH & RECOGNITION COMMITTEE

Research & Recognition Committee

Vice Chancellor



Annexure B HSNC University, Mumbai



No.

Form of application for approval of the topic of the thesis for admission as Post-Graduate Student for the degree of Doctor of Philosophy (Ph.D.)

Topic of the Thesis:		
	-	
To, The Director Board of Exami HSNC University, Mumbai. Thesis Section, Mumbai-40018.		RECENT PASSPORT SIZE PHOTO WITH APPLICANT SIGNATURE
Sir,		ACROSS
Graduate student for the Ph.D	oval of the topic of thesis for adm . Degree. I state that I have not beer for any degree in any other Univer-	admitted as a student for an
Full Name : Shri/Smt./Kum. In Capital	. *	
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Date of Birth:		
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Master's Degree					
M.Phill. Degree					
Any other Degree/Diploma					

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Report of the Research Guide

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Place:			concerned subjects /	
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N.B.: Students are requested to read the below instructions and comply:-

- 1. Soft copy of the research proposal shall be emailed by the research guide in MS Word & PDF format with file name as First Name of the candidate _ Last Name of the Candidate _ Department _ Date of Submission on research@hsncu.edu.in.
- 2. Submit the 03 sets of the outline to topic to thesis duly signed by student and Research Guide and Co-Guide if any. A printout of the email verifying the submission of the soft copy of the Research Proposal shall be enclosed along with this application form.
- 3. The fee for the approval of the topic of the thesis of Rs. 2500/- (Rupees Two Thousand Five Hundred Only) be paid along with the application.
- 4. Fee for approval of the topic of the thesis is accepted between 11.00 am to 1.00 pm and 1.30 pm to 2.30 pm on weekdays (except Second and Fourth Saturday of the Month and holidays) at the University Head quarter Address: D.M. Harish Building 47, Dr. R. G. Thadani Marg Worli, Mumbai-400018 or Through online mode on the university website (www.hsncu.edu.in) the details of the same shall be provided on the university web site.
- 5. The submission of the research proposal of the candidate shall be considered accepted after the physical receipt of this form and after verifying all the documents in person at university.

List of applicable documents (Self attested) to be attached along with this form.

Sr. No.	Name of the Document	Yes /No/Not applicable
1	Self-attested copies mentioned in Column No. 8 should be appended to this applicationMarksheet, Passing Certificate and Convocation/ Degree Certificate	
2	M.Phil Marsksheet, Passing Certificate and Convocation/ Degree Certificate	
3	True copy of Marriage Certificate issued by Marriage Officer of the concerned Government authorities. (In case of female student if she got married after passing the examination on which she intend to join the Ph. D degree course.)	
4	Selection letter of the candidate by Research Center	
5	Research Proposal approval report by the Research Advisory Committee (RAC) of the respective department, School, Center and Constituent college of the University.	



Annexure C HSNC University, Mumbai



No.

Form of application for approval of the topic of the thesis for admission as Post-Graduate Student for the degree of Master of Philosophy (M.Phil)

Topic of the Thesis:		
To, The Director Board of Examination HSNC University, Mumbai. Thesis Section,	ns & Evaluation,	RECENT PASSPORT SIZE PHOTO
Mumbai-40018.		WITH APPLICANT SIGNATURE
Sir,		ACROSS
Graduate student for the M.Phil De	of the topic of thesis for admiss egree. I state that I have not been a v degree in any other University. I	admitted as a student for a
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8. Particulars of qualifications: —

Degree	University	Year of Passing	Subject(s) offered	Class Grade	Percentage Grade Points
Bachelor's Degree					
Master's Degree					
Any other Degree/Diploma		*	×		

9.	Name of the Research Guide at HSNC University,
10.	Name of the Department/
11.	Whether the topic of the thesis for the course issubmitted earlier, if so, give the details:
	All the particulars given above are true. I have read the rules for the M.Phil Degree and I, hereby undertake to abide by them strictly. I further undertake to stay at the place of research throughout the period of my study. I hereby submit 03 Sets of the outline of my topic of thesis.
	Yours faithfully
	Place:
	Date: (Signature of the Applicant)



Report of the Research Guide

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		School/Center	



N.B.: Students are requested to read the below instructions and comply

- 1. Soft copy of the research proposal shall be emailed by the research guide in MS Word & PDF format with file name as First Name of the candidate _ Last Name of the Candidate _ Department _ Date of Submission on research@hsncu.edu.in.
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2	M.Phil Marsksheet, Passing Certificate and Convocation/ Degree Certificate	
3	True copy of Marriage Certificate issued by Marriage Officer of the concerned Government authorities. (In case of female student if she got married after passing the examination on which she intend to join the Ph. D degree course.)	
4	Selection letter of the candidate by Research Center	

